

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant: Tracy

Serial No.: 10/086,380

Group Art Unit: 2837

Filed: 03/04/2002

Examiner: Colton-Santana

Title : SPEAKER ASSEMBLY

Honorable Commissioner of Patents and Trademarks Washington, D. C. 20231

Sir:

The following list represents patents which qualify under 37 C.F.R §1.97 and §1.98 in satisfying Applicant's duty of disclosure under 37 C.F.R. §1.56. The patents are listed on the attached PTO-1449.

The Information Disclosure Statement is being transmitted herewith in accordance with 37 CFR § 1.97(c). That is, the present Information Disclosure Statement is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first office action on the merits, whichever event occurred last, but before a Final Action or Notice of Allowance in accordance with 37 C.F.R. §1.97(c). Applicant accordingly submits the fee set forth in § 1.17(p).

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As such, and in accordance with 37 CFR §§ 1.97(c) and 1.17(p), Applicant submits the fee of \$180.00. If additional fees are required, the Commissioner is authorized to charge them to Deposit Account 01-2221.

Respectfully submitted,

Howard N. Flaxman

Registration No. 34,595

WELSH & FLAXMAN LLC 2341 Jefferson Davis Highway, Ste. 112 Arlington, VA 22202 (703) 920-1122 Our Docket No. TRA-014

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to re-

Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known				
Application Number	10/086,380			
Filing Date	03/04/2002			
First Named Inventor	Tracy			
Art Unit	2837			
Examiner Name	Colton			
Attorney Docket Number	TRA-014			

	U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2 (# known)</sup>			- 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
		<sup>US-</sup> 4,417,170	11/1983	Benncasa		
		<sup>US-</sup> 5,210,793	05/1993	Carsion et al.		
		<sup>US-</sup> 5,818,950	10/1998	Sakamoto et al.		
		<sup>US-</sup> 5,967,585	10/1999	Sprague		
		<sup>US-</sup> 5,996,727	12/1999	Blind et al.		
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		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
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Examiner	Date	
Signature	Considered	
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\*\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \*\*Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*\*Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*\*Applicant is to place a check mark here if English language Translation is extended.\*\* Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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